



Attorney Docket No.: BLB-023587

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Holbert et al

Group Art Unit:

1772

Serial No.:

10/706,437

Examiner:

For:

LOW SURFACE ENERGY BLENDS USEFUL IN THE MANUFACTURE OF

OVENABLE CONTAINERS

Filed:

November 12, 2003

## **CERTIFICATE OF MAILING**

Mail Stop Amendment Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

I hereby certify that the documents listed below are being deposited with the United States Postal Service in an envelope addressed to: Mail Stop Amendment, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on September 2, 2004.

- 1. Information Disclosure statement & petition under 37 C.F.R.-1.56, 1 sheet
- 2. Information Disclosure Statement By Applicant form 1449/PTO, 1 sheet
- 3. International Search Report, 3 sheets
- 4. European Search Report, 1 sheet
- 5. Patent list:
  - a) US 5,002,833
  - b) EP 0,602,005
  - c) EP 0,622,411
  - d) EP 0,261,930
  - e) EP 0,345,031
  - f) EP 0,353,655
  - g) EP 0,276,048
- 5. Fee Transmittal form, 2 sheets
- 6. Post card



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In re Application of: Holbert. et. Al

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IP-023587 (BLB-023587)

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## INFORMATION DISCLOSURE STATEMENT AND PETITION UNDER 37 C.F.R. §1.97(c)

Mail Stop Patent Application Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the following documents listed in the attached Form PTO-1449 which the Examiner may deem relevant to patentability of the claims of the above-identified application. Copies of the documents set forth below and listed on the attached Form PTO-1449.

The submission of the listed documents is not intended as an admission that such documents constitute prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. Applicants respectfully request that an initialed copy of Form, PTO-1449 be returned in accordance with MPEP §609. If there are any questions or if additional information is required regarding this submission, the Examiner is respectfully requested to contact applicant's attorney at the telephone number listed below.

Respectfully submitted,

Holbert et al

Date: 9/02/04

By: Mus D. Lutcher Melvin D. Fletcher Reg. No. 36,137

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PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE action Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/7TO 0 7 2004 **Application Number** 10/706,437 Filing Date November 12, 2003 ORMANION DISCLOSURE First Named Inventor Holbert et al EMENT BY APPLICANT Art Unit 1772 ose as many sheets as necessary) **Examiner Name** IP-023587 Attorney Docket Number Sheet

|                       |                          |   | U. S. PATEN                    | DOCUMENTS  | ,   |
|-----------------------|--------------------------|---|--------------------------------|--|---|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number  Number-Kind Code <sup>2 (if known)</sup> | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       |                          | <sup>US-</sup> 5,002,833                                  | 03-26-1991                     | Kinsey, Jr. et al                                  |   |
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|                       |              | FORE   | IGN PATENT DOCU     | MENTS  |   |    |
|-----------------------|--------------|--|---------------------|--|---|----|
| Examiner<br>Initials* | Cite<br>No.1 | Foreign Patent Document  | Publication<br>Date | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages | Τ  |
|                       |              | Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> ( <i>if known</i> ) | MM-DD-YYYY          |  | Or Relevant Figures Appear                        | T€ |
|                       |              | EP-0,602,005   | 04-17-1990          |  |   |    |
|                       |              | EP-0,622,411   | 04-06-1994          |  |   |    |
|                       |              | EP-0,261,930   | 09-22-1987          |  | ——————————————————————————————————————            |    |
|                       |              | EP-0,345,031   | 05-31-1989          |  |   |    |
|                       |              | EP-0,353,655   | 07-28-1989          |  |   |    |
|                       |              | EP-0,276,048   | 01-05-1988          | ,  |   | Г  |

| Examiner  | Date       |  |
|-----------|------------|--|
| Signature | Considered |  |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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1772

| TET TO A NOMITTAL   | Complete if Known    |                   |  |
|---|----------------------|-------------------|--|
| FEE TRANSMITTAL   | Application Number   | 10/706,437        |  |
| for FY 2004   | Filing Date          | November 12, 2003 |  |
|   | First Named Inventor | Holbert et al     |  |
| Effective 10/01/2003. Patent fees are subject to annual revision. | Examiner Name        |                   |  |
| Applicant claims small entity status. See 37 CFR 1.27             |                      | 1770              |  |

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(\$) 0.00TOTAL AMOUNT OF PAYMENT IP-023587 Attorney Docket No METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) Money 3. ADDITIONAL FEES Check Credit card Other None Large Entity | Small Entity ✓ Deposit Account: Fee **Fee Description** Deposit Code (\$) Code (\$) Fee Paid 09-0525 Account 2051 1051 130 65 Surcharge - late filing fee or oath Number Deposit Surcharge - late provisional filing fee or 1052 50 2052 Account cover sheet Name 130 Non-English specification 1053 130 1053 The Director is authorized to: (check all that apply) 1812 2,520 For filing a request for ex parte reexamination 1812 2.520 Credit any overpayments Charge fee(s) indicated below 920\* Requesting publication of SIR prior to 1804 920 1804 ✓ Charge any additional fee(s) or any underpayment of fee(s) Examiner action Charge fee(s) indicated below, except for the filing fee 1805 1.840 1805 1.840\* Requesting publication of SIR after Examiner action to the above-identified deposit account. 1251 2251 110 55 Extension for reply within first month **FEE CALCULATION** 210 Extension for reply within second month 1252 420 2252 1. BASIC FILING FEE 1253 950 2253 475 Extension for reply within third month arge Entity Small Entity Fee Pald Fee Description 1254 1.480 2254 740 Extension for reply within fourth month ode (\$) Code (\$) 1,005 Extension for reply within fifth month 1255 2,010 2255 1001 770 2001 385 Utility filing fee Design filing fee 1401 330 2401 1002 340 2002 170 165 Notice of Appeal 330 2402 165 Filing a brief in support of an appeal 1402 1003 530 2003 265 Plant filing fee 1403 290 2403 145 Request for oral hearing 1004 770 2004 385 Reissue filing fee 1451 1,510 1451 1,510 Petition to institute a public use proceeding 1005 160 2005 80 Provisional filing fee 1452 110 2452 55 Petition to revive - unavoidable SUBTOTAL (1) |(\$) 1453 1,330 2453 665 Petition to revive - unintentional 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 1,330 2501 665 Utility issue fee (or reissue) Fee from Fee Pald Extra Claims below 1502 480 2502 240 Design issue fee **Total Claims** Х -20\*\* = 1503 640 2503 320 Plant issue fee Independent 1460 130 1460 130 Petitions to the Commissioner Multiple Dependent 1807 50 1807 50 Processing fee under 37 CFR 1.17(q) Large Entity **Small Entity** 0.00 180 Submission of Information Disclosure Stmt 1806 180 1806 Fee Description Fee 40 Recording each patent assignment per Code (\$) Code (\$) 8021 40 8021 property (times number of properties) Claims in excess of 20 1202 18 2202 9 385 Filing a submission after final rejection 1809 770 2809 1201 86 2201 43 Independent claims in excess of 3 (37 ČFR 1.129(a)) Multiple dependent claim, if not paid 1203 290 2203 145 1810 770 385 For each additional invention to be 2810 examined (37 CFR 1.129(b)) 2204 \*\* Reissue independent claims 1204 86 43 over original patent 770 2801 385 Request for Continued Examination (RCE) 1801 900 Request for expedited examination 1802 900 1802 2205 \*\* Reissue claims in excess of 20 1205 18 9 and over original patent of a design application Other fee (specify) **l**(\$ SUBTOTAL (2) \*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0.00 \*\*or number previously paid, if greater; For Reissues, see above

(Complete (if applicable)) SUBMITTED BY Registration No. Name (Print/Type) Melvin D. Fletcher 36,137 Telephone 513/248-6069 Date 9-2-04 Melon D Signature

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